# 2004 Current Fiscal Year Report: Leadership Initiatives Advisory Panel

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1. Department or Agency 2. Fiscal Year

National Endowment for the Arts 2004

3. Committee or Subcommittee 3b. GSA Committee No.

Leadership Initiatives Advisory Panel 5156

4. Is this New During Fiscal 5. Current 6. Expected Renewal 7. Expected Term

Year? Charter Date Date

No 08/19/2002 08/19/2004 08/19/2004

8a. Was Terminated During 8b. Specific Termination 8c. Actual Term

FiscalYear? Authority Date

Yes 20 USC 951 et. seq. 09/30/2004

9. Agency Recommendation for Next10a. Legislation Req to 10b. Legislation

FiscalYear Terminate? Pending?

Merge No

**11. Establishment Authority** Statutory (Congress Created)

12. Specific Establishment 13. Effective 14. Committee 14c.

Authority Date Type Presidential?

20 USC 951 et. seq. 01/01/1965 Continuing No

**15. Description of Committee** Grant Review Committee

**16a. Total Number of** No Reports for this

**Reports** FiscalYear

# 17a. Open 0 17b. Closed 13 17c. Partially Closed 1 Other Activities 0 17d. Total 14 Meetings and Dates

Purpose	Start	End
Application review.	01/07/2004	- 01/09/2004
Application review and policy discussion.	01/12/2004	- 01/13/2004
Application review.	02/04/2004	- 02/04/2004
Application review.	02/12/2004	- 02/12/2004
Application review.	02/25/2004	- 02/25/2004
Application Review.	03/14/2004	- 03/14/2004
Application review.	03/15/2004	- 03/15/2004
Application review	06/07/2004	- 06/07/2004
Application Review	06/10/2004	- 06/10/2004
Application review	06/16/2004	- 06/16/2004
Application review	07/01/2004	- 07/01/2004
Application Reveiw	07/22/2004	- 07/22/2004
Application review.	09/27/2004	- 09/27/2004
Application pre-screening	11/20/2003	- 11/21/2003

Command EV Masst EV

Number of Committee Meetings Listed: 14

[ARTV Prescreening]

	Current FY N	ext F f
18a(1). Personnel Pmts to Non-Federal Members	\$15,000.00	\$0.00
18a(2), Personnel Pmts to Federal Members	\$0.00	\$0.00

18a(3). Personnel Pmts to Federal Staff	\$22,000.00	\$0.00
18a(4). Personnel Pmts to Non-Member Consultants	\$0.00	\$0.00
18b(1). Travel and Per Diem to Non-Federal Members	\$12,775.00	\$0.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$0.00	\$0.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$2,500.00	\$0.00
18d. Total	\$52,275.00	\$0.00
19. Federal Staff Support Years (FTE)	0.20	0.00

## 20a. How does the Committee accomplish its purpose?

The Leadership Initiatives Advisory Panel is responsible for the review of applications for funding in all disciplines that support excellent, catalytic, model projects which will significantly advance the arts in communities, large and small, across the country, or that represent projects of major significance to one or more fields in the arts. This involves making qualitative judgments on the merits of each application and reaching consensus on which applications should be recommended for funding. These recommendations are carefully reviewed by the National Council on the Arts and finally by the Chairman of the National Endowment for the Arts. In FY 04 this committee reviewed approximately 320 applications and cooperative agreements requesting approximately \$24,400,000 and recommended approximately 135 grants and cooperative agreements totalling approximately \$10,300,000.

# 20b. How does the Committee balance its membership?

The Leadership Initiatives Advisory Panel includes persons who have a depth of knowledge about more than one artistic discipline, professional artists in a wide range of disciplines, arts presenting professionals, folklorists, arts administrators, educators, trustees/patrons, critics, scholars, foundation executives, state, local or regional arts agency representatives, informed lay persons and others who bring a wide range of experience and a diversity of professional viewpoints to the work of the panel. The panel also includes a broad geographic and cultural representation plus a reasonable balance of men and women.

## 20c. How frequent and relevant are the Committee Meetings?

This Committee has been merged with four other Committees to form the Arts Advisory Panel. It is anticipated that the committee, or sections thereof, will hold approximately 70 meetings in future years. Meeting dates will continue to be determined by the application deadlines, the number of applications to be considered, and the dates on which panel reocmmendations on grants and guidelines must be considered by the National Council

on the Arts.

# 20d. Why can't the advice or information this committee provides be obtained elsewhere?

The cost of employing Federal staff with the same broad range of professional expertise would be prohibitive. Also, qualitative judgments in the divers areas of aesthetics, artistic expression and creativity are best provided by professionals who work or have expertise in the various artistic disciplines. There is no other established body which could meet the special advisory needs of this unique and continually evolving program.

# 20e. Why is it necessary to close and/or partially closed committee meetings?

Meetings were closed or partially closed for review of applications for financial assistance pursuant to subsections (c)(6)of Title 5, U.S.C. 552b.

### 21. Remarks

This Committee has been merged with four other Committees to form the Arts Advisory Panel.

## **Designated Federal Officer**

## Kathy Plowitz-Worden Panel Coordinator

Committee Members	Start	End	Occupation	Member Designation
Allen, LaRue	03/15/2004	03/15/2004	North Carolina Dance Theatre	Special Government Employee (SGE) Member
Antoninetti, Maurizio	06/16/2004	06/16/2004	Urban Designer, Accessibility Consultant	Special Government Employee (SGE) Member
Bayles, Martha	07/22/2004	07/22/2004	Boston College	Special Government Employee (SGE) Member
Birkhead, Carole	06/10/2004	06/10/2004	Arts Patron	Special Government Employee (SGE) Member
Bradham, Rosita	02/25/2004	02/25/2004	Austin Lyric Opera	Special Government Employee (SGE) Member
Breed, Henry	02/12/2004	02/12/2004	United Nations Mine Action Service	Special Government Employee (SGE) Member
Clark, George	02/04/2004	02/04/2004	Rose Resnick LightHouse for the Blind and Visually Impaired	Special Government Employee (SGE) Member
Coressel, Larry	01/07/2004	01/09/2004	WDPR-FM 88.1	Special Government Employee (SGE) Member
Curtiss, Charlene	02/04/2004	02/04/2004	Light Motion	Special Government Employee (SGE) Member
Edison, Carol	01/12/2004	01/13/2004	Utah Arts Council	Special Government Employee (SGE) Member
Feidt, Daisy	06/16/2004	06/16/2004	Access Living	Special Government Employee (SGE) Member
Flagg, Aaron	09/27/2004	09/27/2004	The Juilliard School	Special Government Employee (SGE) Member
Griffiths, David	01/07/2004	01/09/2004	Independent Producer	Special Government Employee (SGE) Member
Hairston, Phyllis	02/04/2004	02/04/2004	Ohio Arts Council	Special Government Employee (SGE) Member

Hall, Tom	06/10/2004	06/10/2004	Baltimore Choral Arts Society	Special Government Employee (SGE) Member
Hansen, Gregory	01/12/2004	01/13/2004	Arkansas State University	Special Government Employee (SGE) Member
Heyniger, Anne	01/07/2004	01/09/2004	Social Services Volunteer	Special Government Employee (SGE) Member
Holtzberg, Maggie	01/12/2004	01/13/2004	Massachusetts Cultural Council	Special Government Employee (SGE) Member
Jefferson, Thomas	09/27/2004	09/27/2004	Arts Patron	Special Government Employee (SGE) Member
Johnson, Harriet	06/07/2004	06/07/2004	Attorney, Disability Rights	Special Government Employee (SGE) Member
Kirn, Marda	02/12/2004	02/12/2004	International Tap Association	Special Government Employee (SGE) Member
Komatsu, Sylvia	01/07/2004	01/09/2004	KERA-TV	Special Government Employee (SGE) Member
Lawrence, Rebecca	07/22/2004	07/22/2004	New Hampshire State Council on the Arts	Special Government Employee (SGE) Member
Lawson, Brett	02/25/2004	02/25/2004	Colonial Middle School	Special Government Employee (SGE) Member
Lingle, Beverly	06/16/2004	06/16/2004	University of Idaho	Special Government Employee (SGE) Member
Litwin, Sharon	06/10/2004	06/10/2004	Louisiana Philharmonic	Special Government Employee (SGE) Member
McCann, C.	01/12/2004	01/13/2004	Businessman	Special Government Employee (SGE) Member
McFarlin, Bill	09/27/2004	09/27/2004	International Association for Jazz Education	Special Government Employee (SGE) Member
Modrick, James	06/07/2004	06/07/2004	VSA Arts	Special Government Employee (SGE) Member
Moonsammy, Rita	01/12/2004	01/13/2004	Independent Folklorist	Special Government Employee (SGE) Member
Myers, Ken	01/07/2004	01/09/2004	Mars Hill Audio	Special Government Employee (SGE) Member
Nicolosi, Barbara	01/07/2004	01/09/2004	Act One	Special Government Employee (SGE) Member
Nuechterlein, John	03/15/2004	03/15/2004	American Composers Forum	Special Government Employee (SGE) Member
Ostroff, Elaine	02/04/2004	02/04/2004	Global Design Educator's Network	Special Government Employee (SGE) Member
Ostroff, Elaine	06/16/2004	06/16/2004	Global Design Educators' Network	Special Government Employee (SGE) Member
Peich, Michael	07/01/2004	07/01/2004	West Chester University	Special Government Employee (SGE) Member
Sawruk, Theodore	02/25/2004	02/25/2004	Hampton University	Special Government Employee (SGE) Member
Shankar, Guha	01/12/2004	01/13/2004	Library of Congress	Special Government Employee (SGE) Member
Skomal, Martin	02/25/2004	02/25/2004	Nebraska Arts Council	Special Government Employee (SGE) Member
Stewart, Deborah	03/15/2004	03/15/2004	Real Estate Agent	Special Government Employee (SGE) Member
Swenson, Tree	07/01/2004	07/01/2004	Academy of American Poets	Special Government Employee (SGE) Member
Tambucci, Sarah	02/25/2004	02/25/2004	Arts Education Collaborative	Special Government Employee (SGE) Member
Teachout, Terry	03/15/2004	03/15/2004	Arts Critic	Special Government Employee (SGE) Member

Van Wagenen, Sterling	07/22/2004	07/22/2004 University of Central Florida	Special Government Employee (SGE) Member
Verdi-Fletcher, Mary	06/07/2004	06/07/2004 Professional Flair/Dancing Wheels	Special Government Employee (SGE) Member
Willoughby, Judith	06/10/2004	06/10/2004 Northwestern University	Special Government Employee (SGE) Member
Wittstock, Laura	01/07/2004	01/09/2004 MIGIZI Communications, Inc.	Special Government Employee (SGE) Member
Wittstock, Laura	07/22/2004	07/22/2004 MIGIZI Communications	Special Government Employee (SGE) Member
Wong, Eleanor	02/12/2004	02/12/2004 Arts Adminitrator	Special Government Employee (SGE) Member
Ysaguirre, Angel	07/01/2004	07/01/2004 Illinois Humanities Council	Special Government Employee (SGE) Member
Hacker, Tamar [ARTV Prescreening]	11/20/2003	11/21/2003 Independent Film Producer	Special Government Employee (SGE) Member
Lawson, Jennifer [ARTV Prescreening]	11/20/2003	11/21/2003 Producer/Consultant	Special Government Employee (SGE) Member
McFadzean, David [ARTV Prescreening]	11/20/2003	11/21/2003 Wind Dancer Production Group	Special Government Employee (SGE) Member

**Number of Committee Members Listed: 53** 

# **Narrative Description**

The mission of the National Endowment for the Arts is "The National Endowment for the Arts enriches our Nation and its diverse cultural heritage by supporting works of artistic excellence, advancing learning in the arts, and strengthening the arts in communities throughout the country." The Arts Endowment's carries out this mission primarily by awarding grants that address its major goals of supporting "Artistic Creativity and Preservation (to encourage and support artistic creativity and preserve our diverse cultural heritage)," Learning in the Arts (to advance learning in the arts)," Access to the Arts (to make the arts more widely available in communities throughout the country)," and "Partnership for the Arts (to develop and maintain partnerships that advance the mission of the National Endowment for the Arts)." The Leadership Initiatives Advisory Panel supports the work of the agency in all of these goals. In addition to basic grantmaking programs and activities, the Arts Endowment considers special leadership projects or activities that specifically address an Agency goal and outcome. Applications that address such initiatives, or, in some cases, proposed concepts that may lead to grants or cooperative agreements are reviewed by the Leadership Initiatives Advisory panel to ensure that they meet the criteria of artistic excellence and artistic merit.

# What are the most significant program outcomes associated with this committee?

	Checked if Applies
Improvements to health or safety	
Trust in government	✓
Major policy changes	

Advance in scientific research	
Effective grant making	✓
Improved service delivery	
Increased customer satisfaction	✓
Implementation of laws or regulatory requirements	
Other	

#### **Outcome Comments**

Use of peer review panels is specified in the National Endowment for the Arts' legislation. By ensuring that these panels include highly qualified representatives of the various disciplines as well as varied expertise; and geographic, ethnic, and gender balance, the agency not only provides the best possible basis for its grantmaking decisions, but also attempts to assure both its applicants and the public that these decisions are made with care and understanding by individuals who are well respected in their fields. A summary of the panel's comments are shared with the organizations to assist them in improving their programs and strengthening the work accomplished through this partnership.

# What are the cost savings associated with this committee?

	Checked if Applies
None	✓
Unable to Determine	
Under \$100,000	
\$100,000 - \$500,000	
\$500,001 - \$1,000,000	
\$1,000,001 - \$5,000,000	
\$5,000,001 - \$10,000,000	
Over \$10,000,000	
Cost Savings Other	

# **Cost Savings Comments**

The Arts Endowment is required by law to constitute peer review panels to make funding recommendations. These panels primary responsibility is to provide guidance to the staff for awarding grants. The Agency has a specific amount of money available for these grants, and cost savings is not a factor in the panels' work.

What is the approximate <u>Number</u> of recommendations produced by this committee for the life of the committee?

### **Number of Recommendations Comments**

In its 13 meetings during FY 04, the Leadership Initiatives Advisory Panel reviewed approximately 320 proposals and recommended approximately 130 applications and cooperative agreements for funding.

What is the approximate <u>Percentage</u> of these recommendations that have been or will be <u>Fully</u> implemented by the agency?

99%

# % of Recommendations Fully Implemented Comments

The Leadership Initiatives Advisory Panel members are asked to recommend applications for funding, but generally are not asked to recommend specific amounts, although they do provide general guidance for staff in these decisions. The Arts Endowment almost always adheres to the recommendations of the panel.

What is the approximate <u>Percentage</u> of these recommendations that have been or will be <u>Partially</u> implemented by the agency?

0%

# % of Recommendations Partially Implemented Comments

Since panel recommendations generally do not include specific dollar amounts, they are either carried forward or not. There is no partial implementation of recommendations.

Does the agency provide the committee with feedback regarding actions taken to
implement recommendations or advice offered?

Yes 🗸	No 📖	Not Applicable

# **Agency Feedback Comments**

Once recommendations have been reviewed by the National Council on the Arts and final decisions approved by the Chairman, panelists are either provided with the lists of grants awarded, including the amounts recommended or can access information on the Agency's website.

# What other actions has the agency taken as a result of the committee's advice or recommendation?

recommendation?	
	Checked if Applies
Reorganized Priorities	
Reallocated resources	
Issued new regulation	

Proposed legislation Approved grants or other payments Other	
Action Comments NA	
Is the Committee engaged in the review of applications for grants?	
Grant Review Comments	
The Leadership Initiatives Advisory Panel members recommend applications for funding	g
but generally do not recommend specific amounts. The staff recommends amounts bas primarily on the number of applications recommended by the panel and the funding available. In FY 04, the applications recommended represented a total requested amou of \$23,200,000. Available allocations allowed funding of approximately 40% of these requests.	
How is access provided to the information for the Committee's documentation?	
Checked if Appl	lies
Contact DFO	
Online Agency Web Site	✓
Online Committee Web Site	
Online GSA FACA Web Site	
Publications	
Other	

### **Access Comments**

Once all recommendations are final, following review by the National Council on the Arts and approval by the Chairman of the Arts Endowment, all recommended grants are listed on the agency's web site, and the agency's Communications Office sends out a variety of press releases announcing grant awards. All deliberations of the Combined Arts Advisory Panel are held in closed session, and the names of all rejected applicants are kept confidential.